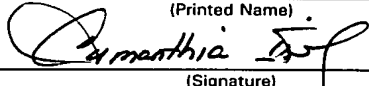




Atty. Dkt. No. 024051-0135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary Alan KEMP II et al.
Title: CLICK BASED TRADING WITH
INTUITIVE GRID DISPLAY OF
MARKET DEPTH
Appl. No.: 09/590,692
Filing Date: 06/09/2000
Examiner: R. Weisberger
Art Unit: 3624

CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Washington, D.C. on the date below. Cymanthia Irving (Printed Name)  (Signature) March 21, 2003 (Date of Deposit)

AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Please amend the application as follows:

In the Specification:

In accordance with 37 CFR § 1.121, please substitute for the paragraph starting on line 10 of page 17, the following rewritten version of the same paragraph, as amended. The changes are shown explicitly in the attached "Marked Up Version Showing Changes Made."

Using the screen display and values from Figure 5, the placement of trade orders using the Mercury display and trading method is now described using examples. A left click on the 18 in the BidQ column 1201 will send an order to market to buy 17 lots (quantity # chosen on the Quantity Description pull down menu cell 1204) of the commodity at a price of 89 (the corresponding price in the Prc column 1203). Similarly, a left click on the 20 in the AskQ column 1202 will send an order to market to sell 17 lots at a price of 90.

In the Claims: